

**PROGRESS MADE IN RESPECT OF IMPROVEMENTS IDENTIFIED THROUGH THE REVIEW OF  
THE ANNUAL GOVERNANCE STATEMENT 2018/19**

Improvement	CIPFA / SOLACE Principle	Owner	Update 4 December 2019	Update 17 March 2020
Develop guidance on our approach to consultation as part of the communications strategy (carried forward from 2017/18 Annual Governance Statement Review).	<b>Principle B</b>	Head of Legal and Commercial Services	<b>Underway.</b> We will be providing guidance on the legal requirements on consultation – this will be rolled out in January 2020.	<b>Complete.</b> Guidance rolled out to Directors, heads of Service and Team Managers in February 2020.
Review approach to presenting the economic, social and environmental impact of decisions within committee reports.	<b>Principle C</b>	Head of Legal and Commercial Services	<b>Complete.</b> Revised committee report format adopted November 2019.	<b>Complete.</b>
Develop organisational requirements for benchmarking of services.	<b>Principle E</b>	Head of HR and Organisational Development	<b>Underway.</b> We will be collating information about benchmarking possibilities from the Team planning process in late 2019 / early 2020 to identify our future organisational approach.	<b>Underway.</b> Future organisational approach remains under development.
Corporate Asset Management Strategy required to go with HRA Asset Management Strategy (carried forward from 2017/18 Annual Governance Statement Review).	<b>Principle E</b>	Head of Housing and Property	<b>Complete.</b> The Corporate Asset Management Strategy was considered by Corporate Scrutiny Committee on 4 September 2019 and will be approved by Cabinet on 12 November 2019.	<b>Complete.</b>
Implement internal audit recommendations in respect of Health and Safety arrangements. Progress against this action will be reported via the Internal Auditors updates at Audit and Governance Committee.	<b>Principle F</b>	Head of HR and Organisational Development	<b>Underway.</b> Good progress being made with the support of an external critical friend from another Council, and we are procuring a new Health and Safety Management system/process. A joint management / trade union group has been established to consider and	<b>Underway.</b> New system (SHE) has been procured and implementation is underway. Health and Safety Task Group continues to meet to progress the other audit recommendations.

			progress the Health and Safety Audit report recommendations	
Review implementation of In-Phase and scope improvements to performance and project management frameworks. Develop performance management framework.	<b>Principle F</b>	Head of HR and Organisational Development	<b>Complete.</b> Changes to InPhase performance management and team planning arrangements including training completed for 2020/21. Quarterly reports to members have been changed and improved to focus on Council delivery plan delivery and key performance indicators. Project support arrangements are being changed to provide a level of administrative support. A corporate Performance management process has been completed.	<b>Complete.</b>
Completion of anti-fraud actions identified as part of anti-fraud and corruption audit to be completed (carried forward from 2017/18 Annual Governance Statement Review).  Awareness raising of anti- fraud and corruption to take place amongst staff.  Implement recommendations arising from the LCC review.	<b>Principle F</b>	Head of Finance	<b>Underway.</b> The Leicestershire Fraud Hub were commissioned early 2019 to undertake a review of the Council's fraud policy framework. The assessment and result of this work are yet to be received, following which, a programme of awareness raising will be developed and implemented.	<b>Underway.</b> Assessment completed by Leicester City Council and Action Plan now developed to implement recommendations including undertaking policy reviews, issuing revised policy to all staff and undertake awareness raising activity.
Implement actions identified within the Finance and Business Plan including the procurement of a new finance system (carried forward from 2017/18 Annual Governance Statement Review).	<b>Principle F</b>	Head of Finance	<b>Underway.</b> A range of improvement actions included in the plan have been implemented or started during the year so far including:  - Work has commenced to procure a new finance system, with consultants appointed to develop a specification to go to the market. The timetable for procurement remains in development but	<b>Underway.</b> Further progress has been made with regards to the development of a specification for procuring a new finance system. The target date for issuing the invitation to tenders is Q1 2020/21.  Going forward, actions arising from the Finance and Business Plan that are not related to the procurement of a new

			<p>we anticipate to have awarded a new finance system contract by July 2020.</p> <ul style="list-style-type: none"> <li>- An new standardised investment appraisal approach has been developed and adopted by CLT</li> <li>- Pricing strategies for each income generating service areas are under development</li> <li>- The approach to scenario modelling in the council's medium term financial plan has been further developed</li> <li>- Training has been undertaken for the Extended Leadership Team in respect of budgeting. CLT have attended Treasury Management training.</li> </ul>	<p>finance system will be taken forward as part of the Journey to Self-Sufficiency Programme.</p>
Implement actions to address issues identified in Internal Audit of Sundry Debtors (carried forward from 2017/18 Annual Governance Statement Review).	<b>Principle F</b>	Head of Finance	<p><b>Underway.</b></p> <p>All recommendations arising from the sundry debtor internal audit have now been implemented with the exception of an action plan for dealing with historic sundry debts which is currently being reviewed by the Finance Team Manager. Internal Audit are in the process of finalising their follow-up review.</p>	<p><b>Complete.</b></p> <p>Action plan for dealing with historic debts developed and now in play and therefore all recommendations from the internal audit have now been implemented. Work to deal with historic debts continues and additional resource has been deployed to complete this.</p>